

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

e Application of: J. Christopher Flaherty

Serial No:

10/700,817

Filing Date:

November 4, 2003

Title:

Plunger for Patient Infusion Device

Docket No:

59249-0163 (INSL-117DV)

CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail under 37 CFR 1.8(a) in an envelope addressed to Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Date:

12/10/03

Mail Stop DD

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Applicant encloses herewith for filing in connection with the above-referenced patent application the following documents:

- 1) Information Disclosure Statement;
- 2) Form 1449;
- Cited references (without U.S. Patents and Patent Application Publications); and 3)
- Acknowledgment Postcard. 4)

No additional costs are believed to be due in connection with the filing of this disclosure. However, please charge any necessary fees to our Deposit Account No. 50-1133.

12-5-03 Date:_

Respectfully submitted,

Jeffrey J. Miller

Registration Number 39,773 McDermott, Will & Emery

28 State Street

Boston, MA 02109

(617) 535-4421 (Tel.)

(617) 535-3800 (Fax)





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: J. Christopher Flaherty

Serial No:

10/700,817

Filing Date:

November 4, 2003

Title:

Plunger for Patient Infusion Device

Docket No:

59249-0163 (INSL-117DV)

CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail under 37 CFR 1.8(a) in an envelope addressed to Mail Stop DD, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Date: 12//0/03

Mail Stop DD Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with 37 C.F.R. §1.56, §1.97 and §1.98, Applicants hereby submit an information disclosure statement with information cited on the attached PTO-1449 form. According to the United States Patent and Trademark Office OG Notices: 05 August 2003, since the above-identified patent application was filed after June 30, 2003, copies of cited U.S. patents and U.S. patent application publications are waived and are not submitted with this Statement. Copies of cited foreign patent documents and non-patent literature are enclosed herewith.

This Statement is being filed within three months after the filing date of the application.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed per se as a representation that such publication is prior art. Moreover, the Applicant understands that the Examiner will make an independent evaluation of the cited publications.

Serial No.: 10/700,817

Page - 2 -

No additional costs are believed to be due in connection with the filing of this disclosure.

However, please charge any necessary fees to our Deposit Account No. 50-1133.

Respectfully submitted,

Date: _____

Jeffrey J. Miller

Registration Number 39,773

McDermott, Will & Emery

28 State Street

Boston, MA 02109

(617) 535-4421 (Tel.)

(617) 535-3800 (Fax)

Subst. Form PTO-1449 INFORMATION DISCLOSURE CIT			TATION TO S		Docket Number (Optional) 59249-163 (INSL-117DV)		Application Number 10/700,817		
IN AN APPLICATION			E DEC 1 5	2003	Applicant	Fl	aherty		
(Use several sheets if necessary)			PATENTO POLICE	Filing Date 11/4/200		Group Art Unit			
U. S. Patent Documents									
EXAMINER INTIIAL	EXAMINER DOCUMENT		DATE	_	NAME	CLASS	SUBCLASS	FILING IF APPRO	
BYTHAL		4,067,000	1/3/78	C	arlson				
		4,151,845	5/1/79	C	lemens				
		4,211,998	7/8/80	Jungi	nger et al.	<u>-</u>			
		4,231,019	10/28/80	Junginger et al.					
		4,364,385	12/21/82	I	Lossef				·
		4,373,527	2/15/83	F	ischell		_		
		4,424,720	1/10/84	Buc	chianeri				
		4,435,173	3/6/84	Sip	oss et al				
		4,469,481	9/4/84	Ko	bayashi				
		4,475,901	10/9/84	Krae	gen et al.				
		4,498,843	2/12/85	Schn	eider et al				
		4,514,732	4/30/85	Hayes Jr.			- 11	v	
		4,529,401	7/16/85	Leslie et al.					
		4,559,033	12/17/85	Stephen et al.					
		4,559,037	12/17/85	Franc	etzki et al.				
		4,560,979	12/24/85	Ro	osskopk				
		FOREI	GN PATENT	DOCUM	ENTS				
	REF DOCUMENT NUMBER		DATE	c	OUNTRY	CLASS	SUBCLASS	Transl YES	NO NO
		EP0867196	13.03.98	E	Europe				
		EP0342947	17.05.89	F	Europe				
		WO81/01658	25.06.81		WIPO				
ОТН	IER DC				le, Date, Perti				
	Web-Site Brochure dated 1/4/00. MiniMed 508. "Doing its job. Naturally." www.minimed.com/tiles/mm_113.htm								
		Web-Site Brochure dated 12/20/99. Applied Medical Technology. "508 Pump Information". www.applied-medical.co.uk/508.htm							
		Web-Site Brochure dated 1/4/00. "The Glucose Sensor". www.animascorp.com/sensor_f.html							
EXAMINER					DATE CONSIDER	RED			
EXAMINER: Ir	itial if ci	tation considered	d, whether or n	ot citation is	in conformance v	with MPE	P § 609; Draw	line throu	ıgh
citation if not in conformance and not considered. Include copy with next communication to applicant.									

DEC 1 5 2003

Subst. Form PTO-1449 Docket Number (Optional) Application Number 10/700,817 59249-163 INFORMATION DISCLOSURE CITATION (INSL-117DV) IN AN APPLICATION Applicant Flaherty (Use several sheets if necessary) Filing Date Group Art Unit 11/4/2003 U. S. Patent Documents FILING DATE IF APPROPRIATE DOCUMENT NUMBER EXAMINER CLASS SUBCLASS DATE NAME RFF INTIIAL 1/7/86 Nason et al. 4,562,751 4/29/86 Michel 4,585,439 4,601,707 7/22/86 Albisser et al. Hannula et al. 1/6/87 4,634,427 4,678,408 7/7/87 Nason et al. 8/4/87 Kenyon 4,684,368 Cable et al. 4,685,903 8/11/87 1/1/88 Martinez et al. 4,781,693 8/8/89 4,855,746 Stacy 12/12/89 Cirelli et al. 4,886,499 Michel et al. 11/13/90 4,969,874 5,007,458 4/16/91 Marcus et al. 11/5/91 5,062,841 Siegel Bartholomew et al. 1/5/93 5,176,662 5,213,483 5/25/93 Flaherty et al. 11/2/93 Van Antwerp et al. 5,257,980 5,254,096 10/19/93 Rondelet et al. FOREIGN PATENT DOCUMENTS DOCUMENT Translation SUBCLASS DATE COUNTRY CLASS REF NUMBER 15.01.98 **WIPO** WO98/01071 20.11.86 WIPO WO86/06796 WO99/62576 09/12/99 WIPO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Web-Site Brochure dated 12/20/99. "The Animas R-1000 Insulin Pump". www.animascorp.com/pump_f_s.html Web-Site Brochure dated 12/20/99. "The Animas R-1000 Insulin Pump". www.animascorp.com/pump_f_f.html Web-Site Brochure dated 1/4/00. SOOIL-Homepage. "Portable Insulin Pump". www.sooil.com/intro2.htm DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.



DEC 1 5 2003

Subst. Form PTO-1449

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary) Group Art Unit Filing Date 11/4/2003 U. S. Patent Documents FILING DATE DOCUMENT NUMBER **EXAMINER** CLASS SUBCLASS DATE NAME REF IF APPROPRIATE INTIIAL 9/14/93 Cordner, Jr. et al 5,244,463 Gross et al 5,242,406 9/7/93 **McPhee** 5,643,213 7/1/97 5,630,710 5/20/97 Tune et al. Funderburk et al 8/13/96 5,545,152 7/9/96 Kamen et al. 5,533,389 Funderburk et al. 5,505,709 4/9/96 5,492,534 2/20/96 Athayde et al. 5/2/95 Kriesel 5,411,480 6/7/94 Athayde et al. 5,318,540 5,312,337 5/17/94 Flaherty et al. 2/9/99 Falk et al. 405,524 5,702,363 12/30/97 Flaherty 5,695,490 12/9/97 Flaherty et al. 5,665,065 9/9/97 Colman et al. FOREIGN PATENT DOCUMENTS Translation DOCUMENT SUBCLASS COUNTRY CLASS DATE REF NUMBER YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Web-Site Brochure dated 1/4/00. SOOIL-Homepage. "Portable Insulin Pump". www.sooil.com/product2.htm Web-Site Brochure dated 1/4/00. SOOIL-Homepage. "Portable Insulin Pump". www.sooil.com/product3.htm Web-Site Brochure dated 1/4/00. SOOIL-Homepage. "Portable Insulin Pump". www.sooil.com/product4.htm DATE CONSIDERED **EXAMINER**

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.

DEC 1 5 2003

Subst. Form PTO-1449 Docket Number (Optional) Application Number 10/700,817 59249-163 INFORMATION DISCLOSURE CITATION (INSL-117DV) IN AN APPLICATION Applicant Flaherty (Use several sheets if necessary) Group Art Unit Filing Date 11/4/2003 U. S. Patent Documents FILING DATE IF APPROPRIATE DOCUMENT NUMBER **EXAMINER** CLASS SUBCLASS INTIIAL 7/15/97 Feldmann et al. 5,647,853 Knudson et al. 5,755,682 5/26/98 Makower et al. 6,071,292 6/6/00 · McPhee 2/1/00 6,019,747 5,997,501 12/7/99 Gross et al. 5,957,890 9/28/99 Mann et al. 5,954,699 12/21/99 Jost et al. 5,954,058 12/21/99 Flaherty 5,911,716 6/15/99 Rake et al. McPhee 5,906,597 5/25/99 3/23/99 Badger et al. 5,886,647 5,848,991 12/15/98 Gross et al. 11/24/98 Flaherty 5,840,063 9/29/98 5,814,020 Gross 5,810,015 9/22/98 Flaherty FOREIGN PATENT DOCUMENTS DOCUMENT Translation COUNTRY CLASS SUBCLASS REF NUMBER OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.

OIPE 1 5 2003 355

Subst. Form PTO-1449 Docket Number (Optional) Application Number 10/700,817 59249-163 INFORMATION DISCLOSURE CITATION (INSL-117DV) IN AN APPLICATION Applicant Flaherty (Use several sheets if necessary) Group Art Unit Filing Date 11/4/2003 U. S. Patent Documents FILING DATE DOCUMENT NUMBER EXAMINER DATE CLASS SUBCLASS REF IF APPROPRIATE INTIIAL 9/1/98 **McPhee** 5,800,405 7/28/98 Joshi et al. 5,785,688 7/28/98 Indravudh 5,785,681 7/14/98 Kriesel et al. 5,779,676 5,776,103 Kriesel et al. 7/7/98 5/5/98 Holl et al. 5,748,827 5,741,228 4/21/98 Lambrecht et al. Altendorf et al. 5,726,751 3/10/98 3/10/98 5,726,404 **Brody** 5,704,520 1/6/98 Gross Altschul 12/1/98 5,845,218 5/9/00 Altschul et al. 6,061,580 11/9/99 Altschul et al. 5,983,094 10/12/99 Altschul et al. 5,965,848 Altschul et al. 5,875,393 2/23/99 FOREIGN PATENT DOCUMENTS Translation DOCUMENT DATE COUNTRY CLASS SUBCLASS REF NUMBER OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.

Subst. Form PTO-1449 Docket Number (Optional) Application Number 10/700,817 59249-163 INFORMATION DISCLOSURE CITATION (INSL-117DV) IN AN APPLICATION Applicant Flaherty (Use several sheets if necessary) Filing Date Group Art Unit 11/4/2003 U. S. Patent Documents FILING DATE DOCUMENT NUMBER EXAMINER INTIIAL CLASS SUBCLASS DATE NAME REF IF APPROPRIATE 11/7/00 Altschul et al. 6,144,847 5/19/81 4,268,150 Chen 10/30/90 Aran et al. 311,735 306,691 3/20/90 Arai 5,576,781 11/19/96 Deleeuw 12/19/95 Balling et al. 5,452,033 Takai 8/24/93 5,239,326 5,045,871 9/3/91 Reinholdson 11/27/90 Gates 4,973,998 11/21/89 Van de Moere 4,882,600 4,801,957 1/31/89 Vandemoere 3/26/91 Arai et al. 315,727 303,013 8/22/89 Konopka 4,624,661 11/25/86 Arimond 4,108,177 8/22/78 **Pistor** FOREIGN PATENT DOCUMENTS Translation DOCUMENT SUBCLASS COUNTRY CLASS DATE REF NUMBER YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.

DATE CONSIDERED

EXAMINER

/	OIPE	6
(2	DEC 1 5 2003	SA 35;
1/6	A TRANSMA	rubsi
		NFO

Subst. Form PTO-1449 Application Number Docket Number (Optional) 10/700,817 59249-163 INFORMATION DISCLOSURE CITATION (INSL-117DV) IN AN APPLICATION Applicant Flaherty (Use several sheets if necessary) Group Art Unit Filing Date 11/4/2003 U. S. Patent Documents FILING DATE
IF APPROPRIATE EXAMINER INTIIAL DOCUMENT CLASS SUBCLASS DATE NAME RFF NUMBER 11/25/86 Arimond 4,624,661 7/5/88 Konopka et al. 4,755,173 4,898,579 2/6/90 Groshong et al. Blanco et al. 5,109,850 5/5/92 4/27/93 Ross et al. 5,205,819 9/14/93 5,244,463 Cordner, Jr. et al. 7/18/95 Van Antwerp et al. 5,433,710 5,584,813 12/17/96 Livingston et al. 6/10/97 Nason et al. 5,637,095 Saaski et al. 5,660,728 8/26/97 5,839,467 11/24/98 Saaski et al. 5,851,197 12/22/98 Marano et al. 8/10/99 Peterson et al. 5,935,099 FOREIGN PATENT DOCUMENTS Translation DOCUMENT CLASS SUBCLASS DATE COUNTRY REF YES NUMBER OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) **EXAMINER** DATE CONSIDERED EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.

Subst. Form PTO-1449 Docket Number (Optional) 59249-163 INFORMATION DISCLOSURE CITATION (INSL-117DV) IN AN APPLICATION Applicant (Use several sheets if necessary) Filing Date 11/4/2003 U.S. Pa Documents DOCUMENT NUMBER EXAMINER INTIIAL DATE NAME REF Campbell et al. 5,232,439 8/3/93 Weber et al. 5,281,202 1/25/94

		5,342,313	8/30/94	Campbell et al.				
		5,514,096	5/7/96	Hiejima				
		5,575,770	11/19/96	Melsky et al.				
		5,665,070	9/9/97	McPhee			-	
		5,797,881	8/25/98	Gadot				
		5,891,097	4/6/99	Saito et al.				
		5,897,530	4/27/99	Jackson				
		6,152,898	11/28/00	Olsen		•		
	-	5,637,095	6/10/97	Nason et al		-		
		5,919,167	7/6/99	Mulhauser et al.	nauser et al.			
		FOREI	GN PATEN	T DOCUMENTS				
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	\$UBCLASS	Transl YES	ation NO
		WO01/52727	17.01.01	WIPO				
		WO00/78210	15.06.00	WIPO				
		WO00/74752	30.05.00	WIPO				
		WO00/19887	20.09.99	WIPO				
		-						
OTHE	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
		User's Guide	for Model 50	8 Insulin Pump, MiniMed	, 8/00, 145	pages		

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through

citation if not in conformance and not considered. Include copy with next communication to applicant.

Application Number

Flaherty

Group Art Unit

CLASS

10/700,817

SUBCLASS

FILING DATE IF APPROPRIATE

EXAMINER

OIPE VOIS SUB SUB INF

Subst. Form PTO-1449 Application Number Docket Number (Optional) 10/700,817 59249-163 INFORMATION DISCLOSURE CITATION (INSL-117DV) IN AN APPLICATION Applicant Flaherty (Use several sheets if necessary) Group Art Unit Filing Date 11/4/2003 U. S. Patent Documents DOCUMENT NUMBER FILING DATE EXAMINER INTIIAL **CLASS** SUBCLASS DATE NAME REF IF APPROPRIATE 12/17/85 Franetzki et al 4,559,037 4,734,092 3/29/88 Millerd 5,582,593 12/10/96 Hultman 5/5/98 5,747,350 Sattler 9/14/93 Stemmle 5,245,447 5/27/75 Schaefer 3,885,662 4/2/02 Pickren 6,363,609 4/16/96 Böcker et al 5,507,288 FOREIGN PATENT DOCUMENTS DOCUMENT Translation DATE COUNTRY CLASS SUBCLASS REF NUMBER YEŞ NO WO98/00193 08.01.98 **WIPO** WO99/56803 **WIPO** 11.11.99 **WIPO** 02.03.00 WO0010628 **WIPO** WO00/29047 25.05.00 **WIPO** WO01/5663 09.08.01 14.03.02 **WIPO** WO02/20073 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) **EXAMINER** DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.

DEC 1 5 2003 Subst. Form PTO-1449 Docket Number (Optional) Application Number 10/700,817 59249-163 INFORMATION DISCLOSURE CITATION (INSL-117DV) IN AN APPLICATION Flaherty (Use several sheets if necessary) Group Art Unit Filing Date 11/4/2003 U. S. Patent Documents EXAMINER INTIIAL FILING DATE DOCUMENT NUMBER DATE NAME CLASS SUBCLASS REF IF APPROPRIATE 1/12/99 Kriesel 5,858,005 8/3/99 Alex, et al. 5,931,814 11/1/88 Thoma, et al. 4,781,688 6/6/89 Burkett 4,836,752 Hobbs 3,631,847 1/4/72 Choi 5,993,423 11/30/99 Wilson 5,800,397 9/1/98 Wootten, et al. 3,812,843 5/28/74 2/28/89 Kamen 4,808,161 FOREIGN PATENT DOCUMENTS DOCUMENT Translation COUNTRY CLASS SUBCLASS DATE REF YES NUMBER NO WO01/76684 10/18/01 **WIPO** 8/25/99 EP0937475 Europe X DE4200595 7/15/93 Germany WO00/29049 5/25/00 **WIPO** WO00/612·15 10/19/00 **WIPO WIPO** WO00/48112 8/17/00 **WIPO** WO00/30705 6/2/00 EP0763369 3/19/97 Europe Х 9/11/0 DE19920896 Germany OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.

DATE CONSIDERED

EXAMINER

DE 1 5 2003

Subst. Form PTO-1449 Docket Number (Optional) Application Number 10/700,817 59249-163 INFORMATION DISCLOSURE CITATION (INSL-117DV) IN AN APPLICATION Applicant Flaherty (Use several sheets if necessary) Filing Date Group Art Unit 11/4/2003 U. S. Patent Documents FILING DATE IF APPROPRIATE DOCUMENT NUMBER EXAMINER INTIIAL CLASS SUBCLASS DATE NAME REF 10/5/99 Kriesel, et al. 5,961,492 6,527,744 3/4/03 Kriesel, et al. 6,174,300 1/16/01 Kriesel, et al. 4,551,134 11/5/85 Slavik, et al. 11/15/94 Beuchat, et al. 5,364,342 4,507,115 3/26/85 Kambara, et al. Ishikawa 5,178,609 1/12/93 2/20/01 Heruth 6,190,359 6,375,638 4/23/02 Nason FOREIGN PATENT DOCUMENTS DOCUMENT Translation COUNTRY CLASS SUBCLASS DATE REE **NUMBER** WIPO WO99/10040 3/4/99 WO02/26282 4/4/02 WIPO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.

Subst. Form		149 CLOSURE CITA	ATION	Docket Number (Optional) 59249-163 (INSL-117DV)	Application Number 10/700,817					
IN AN APPLICATION				Applicant	Flaherty					
(Use several sheets if necessary)				Filing Date	Group Art Unit					
(See Several Grosse II messes II.),				11/4/2003						
U. S. Patent Doc										
FXAMINER DOCUMENT			NAME	CLASS	SUBCLASS	FILING				
INTIIAL	REF	NUMBER 4,944,659	7/31/1990	Labbe, et al.	CLASS	SOBOLAGO	IF APPRO	PRIATE		
		5,346,476	9/13/1994	Elson						
				Mann						
		6,520,936	2/18/2003	Mann						
					<u> </u>					
							<u> </u>			
,					_			**		
			N PATENT D	OCUMENTS						
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Transl YES	lation NO		
		NOMBER								
		,								
ОТН	IER DO	CUMENTS (In	cluding Auth	or, Title, Date, Pe	rtinent Pag	ges, Etc.)				
		4 44 - 1			<u>-</u> .					
EXAMINER				DATE CONSIDERED						
EXAMINER: In citation if not in	nitial if cit conform	tation considered, vance and not consi-	whether or not ci dered. Include c	itation is in conformand copy with next commun	ce with MPEI	P § 609; Draw plicant.	/ line throi	ugn		